

01-16-02

A



PATENT
USSN - New US Pat Appl
Atty Docket: 01078



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

OSCAR JIMENEZ

Group Art Unit:
Unknown

For: ANGIOPLASTY SUPER BALLOON
FABRICATION WITH COMPOSITE
MATERIALS

Examiner:
Unknown

Serial No. (Unknown)

Filed: (Being Filed Herewith)

CERTIFICATE OF EXPRESS MAIL DEPOSIT

"Express Mail" Mailing Label No. ET 143806896 US

Date of Deposit: January 14, 2002

I hereby certify that this paper, or fee, is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

Thomas R. Vigil

(Typed or printed name of person mailing fee or paper)

(Signature of person mailing fee or paper)

The documents enclosed with and being filed with this paper are:

1. New US patent application (14)
2. Executed Declaration (1 page)
3. Executed Assignment (2 pages) and Patent Assignment Recordation Cover Sheet
4. Executed Power of Attorney or Authorization of Agent (1 page)
5. Application Information Data Sheet (1 page)
6. Fee Calculation Sheet (1 page)
7. Checks for \$454.00 and \$40.00
8. Change of Correspondence Address form (1 page)
9. This Certificate of Express Mail Deposit (1 page)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

01/14/02 PTO U.S. PATENT TRANSMITTAL for FY 2002 Patent fees are subject to annual revision	Complete If Known	
	Application Number	
	Filing Date	
	First Named Inventor	Oscar Jimenez
	Examiner Name	Unknown
	Group Art Unit	Unknown
	Attorney Docket No.	01078
TOTAL AMOUNT OF PAYMENT (\$)		454.00

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																														
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number _____ Deposit Account Name _____ <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity (\$)</th> <th>Small Entity (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>300</td><td>216</td><td>185</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>895</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>110</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>40</td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> </tbody> </table>		Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	300	216	185	Extension for reply within second month		117	890	217	445	Extension for reply within third month		118	1,390	218	895	Extension for reply within fourth month		128	1,890	228	945	Extension for reply within fifth month		110	310	219	155	Notice of Appeal		120	310	220	155	Filing a brief in support of an appeal		121	270	221	135	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,240	241	620	Petition to revive - unintentional		142	1,240	242	620	Utility issue fee (or reissue)		143	440	243	220	Design issue fee		144	600	244	300	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Processing fee under 37 CFR 1.17(q)		126	180	126	180	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)	40	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))		149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))		179	710	279	355	Request for Continued Examination (RCE)		169	900	169	900	Request for expedited examination of a design application	
Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description	Fee Paid																																																																																																																																																																												
105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																																												
127	50	227	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																												
139	130	139	130	Non-English specification																																																																																																																																																																												
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination																																																																																																																																																																												
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																												
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																												
115	110	215	55	Extension for reply within first month																																																																																																																																																																												
116	300	216	185	Extension for reply within second month																																																																																																																																																																												
117	890	217	445	Extension for reply within third month																																																																																																																																																																												
118	1,390	218	895	Extension for reply within fourth month																																																																																																																																																																												
128	1,890	228	945	Extension for reply within fifth month																																																																																																																																																																												
110	310	219	155	Notice of Appeal																																																																																																																																																																												
120	310	220	155	Filing a brief in support of an appeal																																																																																																																																																																												
121	270	221	135	Request for oral hearing																																																																																																																																																																												
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																												
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																												
141	1,240	241	620	Petition to revive - unintentional																																																																																																																																																																												
142	1,240	242	620	Utility issue fee (or reissue)																																																																																																																																																																												
143	440	243	220	Design issue fee																																																																																																																																																																												
144	600	244	300	Plant issue fee																																																																																																																																																																												
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																												
123	50	123	50	Processing fee under 37 CFR 1.17(q)																																																																																																																																																																												
126	180	126	180	Submission of Information Disclosure Stmt																																																																																																																																																																												
581	40	581	40	Recording each patent assignment per property (times number of properties)	40																																																																																																																																																																											
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																												
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																												
179	710	279	355	Request for Continued Examination (RCE)																																																																																																																																																																												
169	900	169	900	Request for expedited examination of a design application																																																																																																																																																																												
2. EXTRA CLAIM FEES <table border="1"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>15</td> <td>-20** = 0</td> <td>0</td> <td>0</td> </tr> <tr> <td>5</td> <td>-3** = 2</td> <td>42</td> <td>84</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td></td> </tr> </tbody> </table>		Total Claims	Extra Claims	Fee from below	Fee Paid	15	-20** = 0	0	0	5	-3** = 2	42	84	Multiple Dependent				1. BASIC FILING FEE <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>710</td><td>201 355</td><td>Utility filing fee</td><td>370</td></tr> <tr><td>106</td><td>320</td><td>206 160</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>490</td><td>207 245</td><td>Plant filing fee</td><td></td></tr> <tr><td>108</td><td>710</td><td>208 355</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>150</td><td>214 75</td><td>Provisional filing fee</td><td></td></tr> </tbody> </table> <p>SUBTOTAL (1) (\$) 370.00</p>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101	710	201 355	Utility filing fee	370	106	320	206 160	Design filing fee		107	490	207 245	Plant filing fee		108	710	208 355	Reissue filing fee		114	150	214 75	Provisional filing fee																																																																																																																																	
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																																																																													
15	-20** = 0	0	0																																																																																																																																																																													
5	-3** = 2	42	84																																																																																																																																																																													
Multiple Dependent																																																																																																																																																																																
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																																																																													
101	710	201 355	Utility filing fee	370																																																																																																																																																																												
106	320	206 160	Design filing fee																																																																																																																																																																													
107	490	207 245	Plant filing fee																																																																																																																																																																													
108	710	208 355	Reissue filing fee																																																																																																																																																																													
114	150	214 75	Provisional filing fee																																																																																																																																																																													
2. EXTRA CLAIM FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203 9</td><td>Claims in excess of 20</td><td></td></tr> <tr><td>102</td><td>80</td><td>202 40</td><td>Independent claims in excess of 3</td><td></td></tr> <tr><td>104</td><td>270</td><td>204 135</td><td>Multiple dependent claim, if not paid</td><td></td></tr> <tr><td>109</td><td>80</td><td>209 40</td><td>** Reissue independent claims over original patent</td><td></td></tr> <tr><td>110</td><td>18</td><td>210 9</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr> </tbody> </table> <p>SUBTOTAL (2) (\$) 84.00</p>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	103	18	203 9	Claims in excess of 20		102	80	202 40	Independent claims in excess of 3		104	270	204 135	Multiple dependent claim, if not paid		109	80	209 40	** Reissue independent claims over original patent		110	18	210 9	** Reissue claims in excess of 20 and over original patent		<p>Other fee (specify) _____</p> <p>SUBTOTAL (3) (\$) 40.00</p>																																																																																																																																																	
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																																																																													
103	18	203 9	Claims in excess of 20																																																																																																																																																																													
102	80	202 40	Independent claims in excess of 3																																																																																																																																																																													
104	270	204 135	Multiple dependent claim, if not paid																																																																																																																																																																													
109	80	209 40	** Reissue independent claims over original patent																																																																																																																																																																													
110	18	210 9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																																													

*for number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Thomas R. Vigil	Registration No. (Attorney/Agent)	24,542
Signature	<i>Thomas R. Vigil</i>	Telephone	(847) 382-6500
		Date	Jan. 10, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CHANGE OF CORRESPONDENCE ADDRESS Application

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

Application Number	
Filing Date	
First Named Inventor	Oscar Jimenez
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	01078

Please change the Correspondence Address for the above-identified application to:

☐

Customer Number

Type Customer Number here

Place Customer
Number Bar Code
Label here

OR

☒
Firm or
Individual Name

Thomas R. Vigil

Address

c/o Welsh & Katz, Ltd.

Address

120 South Riverside Plaza - 22nd Floor

City

Chicago

State

IL

ZIP

60606

Country

US

Telephone

(312) 655-1500

Fax

(312) 655-1501

This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).

I am the :

☐

Applicant/Inventor.

☐

Assignee of record of the entire interest.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒

Attorney or Agent of record.

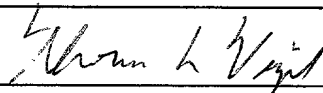
☐

Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____

Typed or Printed
Name

Thomas R. Vigil

Signature



Date

January 14, 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐

*Total of _____ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.